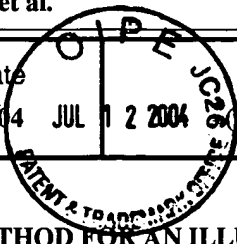


IPW

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8) Applicant(s): Mourad Boulouednine, et al.			Docket No. 03P01354		
Application No. 10/765,875	Filing Date 01/29/2004		Examiner (Not yet assigned)	Customer No.	Group Art Unit
Invention: CIRCUIT ARRANGEMENT AND METHOD FOR AN ILLUMINATION DEVICE HAVING SETTABLE COLOR AND BRIGHTNESS					
<p>I hereby certify that this <u>Information Disclosure Statement [Statements, PTO-1149, 8 Documents]</u> (Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service as first class mail in an envelope addressed to:</p> <p>Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on <u>July 9, 2004</u> (Date)</p> <p><u>Kenneth D. Labudda, Reg. No. 41,134</u> (Typed or Printed Name of Person Mailing Correspondence)</p> <p><u>Kenneth D. Labudda</u> <u>07/09/2004</u> (Signature of Person Mailing Correspondence)</p> <p>Note: Each paper must have its own certificate of mailing.</p>					

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

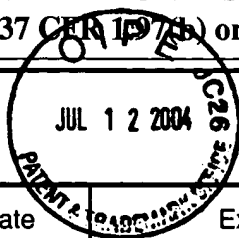
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

03P01354

In Re Application Of:

Mourad Boulouednine, et al.



Application No.

Filing Date

Examiner

Customer No.

Group Art Unit

Confirmation No.

10/765,875

01/29/2004

(Not yet assigned)

Title:

CIRCUIT ARRANGEMENT AND METHOD FOR AN ILLUMINATION DEVICE HAVING SETTABLE COLOR AND BRIGHTNESS

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

03P01354

In Re Application:

Mourad Boulouednine, et al.

Application No.

10/765,875

Filing Date

01/29/2004

Examiner

(Not yet assigned)

Customer No.

Group Art Unit

Confirmation No.

**CIRCUIT ARRANGEMENT AND METHOD FOR AN ILLUMINATION DEVICE HAVING SETTABLE
AND BRIGHTNESS****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 15-0685
as described below.
- ☐ Charge the amount of _____
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Kenneth D. Labudda
Signature

Dated: 9 July 2004

Kenneth D. Labudda, Reg. No. 41,134
Agent for Applicant(s)

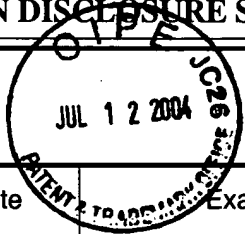
OSRAM SYLVANIA INC.
800 N. Church St.
Lake Zurich, IL 60047
Tel. (847) 726-6448

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
03P01354

In Re Application Of:
Mourad Boulouednine, et al.



Application No. 10/765,875	Filing Date 01/29/2004	Examiner (Not yet assigned)	Customer No.	Group Art Unit	Confirmation No.
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Invention:

**CIRCUIT ARRANGEMENT AND METHOD FOR AN ILLUMINATION DEVICE HAVING SETTABLE COLOR
AND BRIGHTNESS**

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

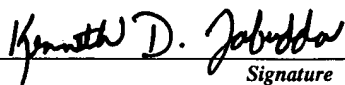
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

Dated: **9 July 2004**

Kenneth D. Labudda, Reg. No. 41,134
Agent for Applicant(s)

OSRAM SYLVANIA INC.
800 N. Church St.
Lake Zurich, IL 60047
Tel. (847) 726-6448

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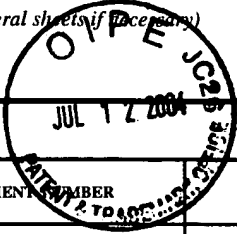
 **07/09/2004**
Signature of Person Mailing Correspondence

Kenneth D. Labudda, Reg. No. 41,134

Typed or Printed Name of Person Mailing Correspondence

CC:

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				Docket Number (Optional) 03P01354		Application Number 10/765,875	
				Applicant(s) Mourad Boulouednine, et al.			
				Filing Date 01/29/2004		Group Art Unit	



U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		U.S. 6,016,038	01/18/2000	Mueller et al.	315	291	08/26/1997

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 2002/0048177 A1	04/25/2002	Rahm et al.	362	555	09/06/2001

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		EP 1 152 642 A2	11/07/2001	European Patent Office	H05B	33/08		✓
		EP 1 152 642 A3	10/29/2003	European Patent Office	H05B	33/08		✓
		DE 200 02 060 U1	06/08/2000	Germany	F21S	10/02		✓
		DE 200 07 134 U1	08/17/2000	Germany	F21V	9/08		✓
		DE 100 51 528 A1	05/02/2002	Germany	F21S	2/00		✓

OTHER DOCUMENTS		<i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>
		European Patent Office Search Report (referencing all documents listed above) dated May 2004.

EXAMINER	DATE CONSIDERED
<p><small>EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small></p>	